

North Broward Hospital District Board of Commissioners
1601 South Andrews Avenue, Suite 100, Fort Lauderdale, FL 33316

**COMPLIANCE AND ETHICS COMMITTEE MEETING
Immediately following the Audit Committee Meeting
Wednesday, January 31, 2024**

The Compliance and Ethics Committee Meeting of the North Broward Hospital District was held at the Broward Health Sports Medicine Building, 1601 South Andrews Avenue, Suite 100, Fort Lauderdale, FL 33316.

1. NOTICE

Official notice is attached to these minutes, titled EXHIBIT I. Agenda of this meeting is attached to the minutes, titled EXHIBIT II. Supporting documents, if applicable, are attached to these minutes, titled EXHIBIT III. These exhibits are presented for consideration of the Committee.

2. CALL TO ORDER

There being a quorum present, the meeting was called to order by Chair Nancy W. Stamper at 3:08 p.m.

3. COMMITTEE MEMBERS

Present:

- Commissioner Levi G. Williams, Jr.
- Commissioner Christopher J. Pernicano
- Commissioner Stacy L. Angier
- Commissioner Ray T. Berry, Vice Chair
- Commissioner Nancy W. Stamper, Chair

Not Present:

- Commissioner Jonathan K. Hage
- Commissioner Paul C. Tanner

Senior Leadership

Additionally Present:

- Shane Strum, President, Chief Executive Officer
- Alan Whaley, EVP, Chief Operating Officer
- Alisa Bert, VP, Interim Chief Financial Officer
- Linda Epstein, Corporate General Counsel

4. PUBLIC COMMENTS

Chair Stamper opened the floor for public comments, in which there were none.

5. APPROVAL OF MINUTES

- 5.1. Approve Meeting Minutes dated October 25, 2023

Without objection, Chair Stamper approved the minutes, dated October 25, 2023.

Motion *carried* without dissent.

6. TOPIC OF DISCUSSION

6.1. Recent Enforcement Actions (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Ms. Ringholz provided a high-level overview of some the following recent enforcement actions for the purpose of awareness in the industry:

- Remuneration Provided to Physicians
- Employment of Excluded Individual
- Violations of the Stark Law and the False Claims Act (FCA)

6.2. Quarterly Compliance Training (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Ms. Ringholz shared a Quarterly Compliance Training video of Greg Demske, Former Chief Counsel to former United States Department of Health and Human Services Office of Inspector General (HHS-OIG) Inspector General, Dan Levinson highlighted guidance for Health Care Boards.

6.3. Broward Health Compliance Program Self-Assessment (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Ms. Ringholz reviewed components of the District's Compliance Program identified within the Quarterly Compliance Training video.

7. ADJOURNMENT

There being no further business on the agenda, the Chair adjourned the meeting at 3:43 p.m.

Respectfully submitted,
Commissioner Jonathan K. Hage, Secretary/Treasurer