

Meeting Name: Compliance and Ethics Committee Meeting

Meeting Start Time: 3:00 PM Eastern Daylight Time

Meeting Start Date: 09/11/2019

Meeting Location: 1700 Northwest 49 Street, Suite 150, Ft. Lauderdale, FL 33309

Agenda:

1. Notice of Meeting
2. Call to Order (Presenter: Nancy W. Gregoire)
3. Roll Call (Presenter: Patricia Alfaro)
Nancy W. Gregoire/Chair
Andrew M. Klein
Christopher T. Ure
Ray T. Berry
Stacy L. Angier
Marie C. Waugh
4. Public Comments
5. Election of Compliance & Ethics Committee Officers
6. Approval of Minutes
Approve minutes dated August 21, 2019
7. Topic of Discussion
 - 7.1.Chief Compliance Officer Report (Presenter: Brian Kozik)
 - 7.1.1. Compliance Updates
 - 7.1.2. OIG/CIA Update
 - 7.2.GA-004-002 Compliance Office and General Counsel Protocol
 - 7.3.Compliance Training: Board Members (Presenter: Brian Kozik)
8. Adjournment