

COMPLIANCE AND ETHICS COMMITTEE
Immediately Following Legal Affairs & Governmental Relations Committee Meeting
April 17, 2019

CALL TO ORDER

COMMITTEE MEMBERS

- _____ Commissioner Nancy W. Gregoire, Chair
- _____ Commissioner Andrew M. Klein
- _____ Commissioner Christopher T. Ure
- _____ Commissioner Ray T. Berry
- _____ Commissioner Stacy L. Angier
- _____ Commissioner Marie C. Waugh

EX-OFFICIO

President/CEO, General Counsel, Chief Compliance Officer, Chief Internal Auditor or his or her designee

PUBLIC COMMENTS

APPROVAL OF MINUTES

1. Approval of the Compliance and Ethics Committee meeting minutes, dated March 21, 2019

TOPIC OF DISCUSSION

2. Chief Compliance Officer Report
 - a. Report from the Executive Compliance Group
 - Training
 - Sanction Screening
 - Disclosures
 - Disclosures Detail
 - Conflict of Interest

AGENDA

North Broward Hospital District Board of Commissioners
1700 Northwest 49th Street, Suite 150, Ft. Lauderdale, Florida 33309

- Risk Assessment, Auditing and Monitoring
3. Regulatory Environment Report / Training
- Compliance Reporting to the Board of Commissioners

ADJOURNMENT

DRAFT