

North Broward Hospital District Board of Commissioners
1601 South Andrews Avenue, Suite 100, Fort Lauderdale, FL 33316

**COMPLIANCE AND ETHICS COMMITTEE MEETING
Immediately following the Audit Committee Meeting
Wednesday, July 26, 2023**

The Compliance and Ethics Committee Meeting of the North Broward Hospital District was held at the Broward Health Sports Medicine Building, 1601 South Andrews Avenue, Suite 100, Fort Lauderdale, FL 33316.

1. NOTICE

Official notice is attached to these minutes, titled EXHIBIT I. Agenda of this meeting is attached to the minutes, titled EXHIBIT II. Supporting documents, if applicable, are attached to these minutes, titled EXHIBIT III. These exhibits are presented for consideration of the Committee.

2. CALL TO ORDER

There being a quorum present, the meeting was called to order by Vice Chair Ray T. Berry at 2:34 p.m.

3. COMMITTEE MEMBERS

Present: Commissioner Paul C. Tanner
Commissioner Levi G. Williams, Jr.
Commissioner Stacy L. Angier
Commissioner Ray T. Berry, Vice Chair

Not Present: Commissioner Jonathan K. Hage
Commissioner Christopher J. Pernicano
Commissioner Nancy W. Stamper, Chair

*Senior Leadership
Additionally Present:* Shane Strum, President, Chief Executive Officer
Alan Whaley, EVP, Chief Operating Officer
Alisa Bert, VP, Interim Chief Financial Officer
Linda Epstein, Corporate General Counsel

4. PUBLIC COMMENTS

Vice Chair Berry opened the floor for public comments, in which there were none.

5. APPROVAL OF MINUTES

5.1. Approval of Compliance and Ethics Committee Meeting minutes dated January 25, 2023

Without objection, Vice Chair Berry approved the minutes, dated January 25, 2023.

Motion *carried* without dissent.

6. TOPIC OF DISCUSSION

- 6.1. Chief Compliance Officer Report (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Vice Chair Berry welcomed Judy Ringholz, Interim Chief Compliance Officer for her first Compliance and Ethics Committee presentation.

Ms. Ringholz provided the following Fiscal Year (FY) 2023 Compliance Audit Update:

- (13) Total.
 - (11) Closed
 - Human Trafficking
 - Case Management
 - Credentialing Process
 - Medical Device Credits
 - Medical Visas
 - Coding Audits - Various Support
 - Outpatient Coding Audit
 - Inpatient Coding Audit
 - Physician Documentation Reviews
 - Record Retention
 - EMTALA - BHN
 - (1) Wrap-up stage
 - Outpatient Observation Audit
 - (1) Reporting stage
 - Mpages - community connect

Annual Conflict of Interest (COI)

Reported that 887 of 887 COI Disclosure Forms were received.

- 12 Pending Management Plan Completion
- 6 Disclosures Under Review

- 6.2. Approval of Fiscal Year 2024 Compliance Work Plan (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

MOTION It was *moved* by Commissioner Angier, *seconded* by Commissioner Tanner, that:

The Compliance and Ethics Committee recommend that the Board of Commissioners of the North Broward Hospital District approve the Compliance Work Plan for Fiscal Year 2024, as presented.

Motion *carried* unanimously.

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- 6.3. Compliance Education: Practical Guidance for Health Care Governing Boards on Compliance Oversight (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Ms. Ringholz shared the *Practical Guidance for Health Care Governing Boards on Compliance Oversight* which was provided to the Committee within the July 2023 Compliance and Ethics Committee Meeting Committee Book for their review.

For further detail, related slides are available within the July 2023 Compliance and Ethics Committee Meeting book on the Board of Commissioners' webpage.

7. **ADJOURNMENT**

There being no further business on the agenda, the Vice Chair adjourned the meeting at 2:55 p.m.

Respectfully submitted,
Commissioner Jonathan K. Hage, Secretary/Treasurer

APPROVED