

North Broward Hospital District Board of Commissioners
1700 Northwest 49th Street, Suite #150, Ft. Lauderdale, FL 33309

COMPLIANCE AND ETHICS COMMITTEE MEETING
Immediately following the Governance Committee Meeting
Wednesday, July 20, 2022

The Compliance and Ethics Committee Meeting of the North Broward Hospital District was held at the Broward Health Corporate Spectrum Location, 1700 NW 49th Street, Suite 150, Fort Lauderdale, Florida 33309.

*Due to time restraints, it was decided that the Compliance and Ethics Committee meeting would follow the Governance Committee Meeting.

1. **NOTICE**

Official notice is attached to these minutes, titled EXHIBIT I. Agenda of this meeting is attached to the minutes, titled EXHIBIT II. Supporting documents, if applicable, are attached to these minutes, titled EXHIBIT III. These exhibits are presented for consideration of the Committee.

2. **CALL TO ORDER**

There being a quorum present, the meeting was called to order by Chair Nancy W. Stamper at 11:11 a.m.

3. **COMMITTEE MEMBERS**

Present:

Commissioner Paul C. Tanner
Commissioner Jonathan K. Hage
Commissioner Christopher J. Pernicano
Commissioner Levi G. Williams, Jr.
Commissioner Stacy L. Angier
Commissioner Ray T. Berry, Vice Chair (via Teams)
Commissioner Nancy W. Stamper, Chair

Senior Leadership

Additionally Present:

Shane Strum, President/Chief Executive Officer
Alan Whaley, EVP/Chief Operating Officer
Alex Fernandez, SVP/Chief Financial Officer
Linda Epstein, Corporate General Counsel

4. **PUBLIC COMMENTS**

Chair Stamper opened the floor for public comments, in which there were none.

5. **APPROVAL OF MINUTES**

5.1. Approve Meeting Minutes dated February 16, 2022

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Without objection, Chair Stamper approved the minutes, dated February 16, 2022.

Motion *carried* without dissent.

6. TOPIC OF DISCUSSION

6.1. Chief Compliance Officer Report (Presenter – Deborah Hall, Interim Chief Compliance & Privacy Officer)

Ms. Hall advised that 5 positions remained vacant within the Compliance Department.

HIPAA Breaches – Four (4) confirmed

- Impermissible Disclosures of Patient Health Information (PHI):
 - Broward Health North = One (1)
 - Investigated, corrective action plan completed & closed.
 - Broward Health Medical Center = Three (3)
 - One (1) Investigated, corrective action plan completed & closed.
 - One (1) Investigated, pending corrective action plan.
 - One (1) Investigation underway.

It was noted a Compliance Training was provided to the various areas of HIPAA Breaches.

Based on Ms. Hall's 90-day high-level assessment, the status of the Fiscal Year 2022 Compliance Annual Work Plan was as follows:

- 7 completed; and
- 16 active.

Further reported were the following policy updates:

1. GA-004-493 - Overpayments;
2. GA-004-290 - Sanction Screening;
3. GA-004-494 - Information Blocking;
4. GA-004- 155 – Right to Request Privacy Protection and Agreed Upon Restrictions;
5. GA-004- 070 – Individual's Right to Confidential Communication; and
6. GA-004-150 Reporting of Information Privacy and Security Incidents.

7. ADJOURNMENT

There being no further business on the agenda, the Chair adjourned the meeting at 11:35 a.m.

Respectfully submitted,
Commissioner Paul C. Tanner, Secretary/Treasurer